

14042 U.S. PTO **UTILITY**
PATENT APPLICATION
TRANSMITTAL

012904

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	UK03-002	Total Pages	2
First Named Inventor or Application Identifier: Cotter, David			
Title: Optical Communication Networks And Methods Of Controlling Them			
Express Mail Label No.	EV 327190173 US		

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to Mail Stop Patent Application, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on January 29, 2004
(Date)
Signature Juliana Agon
Juliana Agon

"EXPRESS MAIL" Mailing Label No. EV 327190173 US

ADDRESS TO: Mail Stop Patent Application
Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

22278 U.S. PTO
10/768569

- ☒ * Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages] 10
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets] 2
- Oath or Declaration [Total Pages] 4
 - ☒ Executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner:

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 22928 or ☐ Correspondence address below

NAME	Ronald J. Paglierani				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	(607) 974-3332	FAX	(607) 974-3848
Name (Print/Type)	Juliana Agon			Registration No. (Attorney/Agent)	33,468
Signature	<u>Juliana Agon</u>			Date	<u>January 29, 2004</u>

FEE TRANSMITTAL

4042 U.S. PTO For FY 2003



012904

TOTAL AMOUNT OF PAYMENT (\$856.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

03-3325

Deposit
Account
Name

Corning Incorporated

- ☒ Charge Any Additional Fees Required
Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
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1001	770	Utility filing fee	770.00
1002	340	Design filing fee	—
1003	530	Plant filing fee	—
1004	770	Reissue filing fee	—
1005	160	Provisional filing fee	—

SUBTOTAL (1) (\$770.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
12	- 20** =	x 18 =	00.00
Independent Claims	4	- 3** = 1 x 86 =	86.00
Multiple Dependent	0	=	0.00

**or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Code	Fee (\$)	Fee Description
1202	18	Claims in excess of 20
1201	86	Independent claims in excess of 3
1203	290	Multiple dependent claim, if not paid 0
1204	86	** Reissue independent claims over original patent
1205	18	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$86.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Cotter, David, et al.
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	UK03-002

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity

Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	—
1052	50	Surcharge - late provisional filing fee or cover sheet	—
1053	130	Non-English specification	—
1812	2,520	For filing a request for reexamination	—
1804	920*	Requesting publication of SIR prior to Examiner action	—
1805	1,840	Requesting publication of SIR after Examiner action	—
1251	110	Extension for reply within first month	—
1252	420	Extension for reply within second month	—
1253	950	Extension for reply within third month	—
1254	1,480	Extension for reply within fourth month	—
1255	2,010	Extension for reply within fifth month	—
1401	330	Notice of Appeal	—
1402	330	Filing a brief in support of an appeal	—
1403	290	Request for oral hearing	—
1451	1,510	Petition to institute a public use proceeding	—
1452	110	Petition to revive - unavoidable	—
1453	1,330	Petition to revive - unintentional	—
1501	1,330	Utility issue fee (or reissue)	—
1502	480	Design issue fee	—
1503	640	Plant issue fee	—
1460	130	Petitions to the Commissioner	—
1807	50	Petitions related to provisional applications	—
1806	180	Submission of Information Disclosure Stmt	—
8021	40	Recording each patent assignment per property (times number of properties)	—
1809	770	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	—
1810	770	For each additional invention to be examined (37 C.F.R. § 1.129(b))	—
1801	770	Request for Continued Examination (RCE)	—
1802	900	Request for expedited examination of a design application	—

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Completed (if applicable)

Name (Print/Type)

Juliana Agon

Registration No. (Attorney/Agent)

33,468

Signature

Juliana Agon

Date

January 29, 2004